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REPORT ON THE FINDINGS OF THE SELF-ASSESSMENT SURVEY ON COMPLIANCE WITH THE PRINCIPLES OF THE CODE OF GOOD PRACTICE IN STATISTICS IN LATIN AMERICA AND THE CARIBBEAN\*

<sup>\*</sup> This report was prepared by the National Administrative Department of Statistics (DANE) of Colombia, coordinator of the Working Group on Institution-building.

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#### INTRODUCTION

The national statistical offices of the region work untiringly to improve the quality of their statistics and strengthen the credibility of their institutions. In line with the new approach to the production of statistics worldwide, incorporating principles and good practices in the development of internal and external processes helps to build authoritative offices, which are fundamental to the smooth functioning of national statistical systems.

Since 2008, the Secretariat of the Economic Commission for Latin America and the Caribbean (ECLAC) has been conducting activities to raise awareness and promote the adoption of principles and good practices in the offices of the region; one element of that effort was the application of a self-assessment questionnaire on the indicators of seven principles of the European Statistics Code of Practice, with a view to evaluating the current situation in 19 national statistical offices in the region, namely in: Aruba, Brazil, Chile, Colombia, Costa Rica, Cuba, Dominican Republic, Ecuador, El Salvador, Grenada, Guatemala, Honduras, Mexico, former Netherlands Antilles, Panama, Paraguay, Peru, Puerto Rico and Uruguay.<sup>1</sup>

To help analyse the current situation in the national statistical offices in the region in relation to compliance with the Code of Good Practice in Statistics in Latin America and the Caribbean, the National Administrative Department of Statistics (DANE) of Colombia, coordinator of the Working Group on Institution-building of the Statistical Conference of the Americas of ECLAC, is submitting an assessment of the extent to which each of the criteria identified as a best practice in the process of generating statistics is being met.

A self-assessment questionnaire was prepared on compliance with 10 of the 17 principles of the Code of Good Practice in Statistics in Latin America and the Caribbean, with a view to investigating the current situation relating to the institutional environment and coordination, statistical processes and statistical output in the national statistical offices.

Forty-three member countries of the Statistical Conference of the Americas were invited to take part in the activity: 19 from Latin America and 24 from the Caribbean. Of those countries, 14 completed the questionnaire (Bahamas, Bolivarian Republic of Venezuela, Brazil, Chile, Colombia, Costa Rica, Dominican Republic, Ecuador, Honduras, Mexico, Panama, Paraguay, Peru and Puerto Rico).

The data was collected using a self-assessment form that was sent by e-mail to the directors of the national statistical offices.

In each of the offices that completed the questionnaire, calculations were carried out to find the arithmetic mean for each of the questions and criteria for compliance for each principle evaluated.

The exercise carried out provides the Statistical Conference of the Americas and the member countries with an assessment of the current state of the national statistical offices, which will make it possible to continue the process of implementing the Code through expert evaluations and plans for boosting efforts to achieve full application of the Code of Good Practice in Statistics in Latin America and the Caribbean and the strengthening of national statistics systems.

The principles evaluated by the Secretariat of ECLAC in 2008 were: (i) professional independence, (ii) mandate for data collection, (iii) statistical confidentiality, (iv) adequacy of resources, (v) quality commitment, (vi) impartiality and objectivity and (vii) accessibility and clarity.

The present report is made up of four sections: the first gives an overview of the self-assessment, including an interpretation of the findings and the structure of the questionnaire; the second shows the general findings obtained from the countries that completed the questionnaire; the third presents the general conclusions obtained from the calculations carried out; and the fourth contains the annexes, which include the calculations of averages by question and the questionnaire that was used.

#### 1. Overview of the self-assessment

#### 1.1 Structure of the questionnaire

The form is divided into 138 questions that zero in on elements relating to compliance with each of the criteria that make up the principles established in the Code. The questionnaire is made up of two parts: an introductory part that contains general instructions on how to complete the questionnaire and a section for the person doing so to indicate their professional details; and a thematic part that is divided into sections in accordance with the principles of the Code containing general and specific questions to evaluate the current compliance of offices with those principles. In addition, it evaluates the strengths and weaknesses of the offices, and the actions taken to better comply with each principle.

The table below provides further details on the structure of the questionnaire.

Questionnaire 2011 (see annex 2)

	Description	Questions
Introduction	Contains basic information about the form, stating the general aim and the benefits to be obtained from the data gathered.	
Identification	A section for completing the name of the country, the name of the institution, the name of the person responsible for providing the information, their role or position, the address of the institution, telephone number, e-mail address and date of completion.	
Institutional environment and coordination	In monitoring compliance with the principles and criteria, the aim is to ensure that the institutional environment of the national statistical office and of the members of the national statistical system supports the credibility and accuracy of official statistics. In addition, the national statistical system should have a governing body to coordinate and regulate the investigation, production and dissemination of good-quality statistics through policies, norms and standards.	1-24
Statistical processes	In monitoring compliance with the principles and criteria, the aim is to ensure that national and international directives, guidelines, standards and good practices are used as the basis for developing methodologies and procedures for good-quality statistical production.	25-86
Statistical output	In monitoring compliance with the principles and good practices, the aim is to ensure that official statistics satisfy the needs of their users and meet the quality standards for statistical products.	87-138

#### 1.2 Interpretation of findings

The concepts of institutional environment and coordination, statistical processes and statistical output constitute the pillars of the statistical activity carried out in all of the national statistical offices in the region. The Code is thus divided into three sections —one for each concept— each containing specific principles and their associated compliance criteria.

Sections	Principles	Number of compliance criteria per principle
Institutional environment and coordination	1. Professional independence	6
	2. Coordination of the national statistical system	5
	3. Mandate for data collection	3
	4. Statistical confidentiality	7
	5. Adequacy of resources	4
	6. Quality commitment	4
	7. Impartiality and objectivity	7
	8. Cooperation and international participation	3
Statistical processes	9. Sound methodology	6
	10. Appropriate statistical procedures	7
	11. Non-excessive burden on respondents	4
	12. Cost-effectiveness	4
Statistical output	13. Relevance	4
	14. Accuracy and reliability	5
	15. Timeliness and punctuality	5
	16. Coherence and comparability	4
	17. Accessibility and clarity	6

Using the structure of the draft Code of Good Practice in Statistics in Latin America and the Caribbean, a self-assessment questionnaire was prepared on the principles that were not evaluated by the ECLAC Secretariat in 2008, namely: coordination of the national statistical system, cooperation and international participation, sound methodology, appropriate statistical processes, non-excessive burden on respondents, cost-effectiveness, relevance, accuracy and reliability, timeliness and punctuality, and coherence and comparability.

Questions were formulated on the activities carried out to meet each criterion.

#### 2. General findings

The findings that follow are displayed by principle and were obtained by calculating the arithmetic mean of the national statistical offices that apply the compliance criteria for each principle.<sup>2</sup>

To calculate compliance with the criteria, all of the answers to each of the questions formulated per criteria were taken into account; a question-by-question analysis is contained in annex 1.

For example:

**Compliance criterion 2.3**: the governing and coordinating body of the national statistical system establishes the parameters for participation and the responsibilities of the entities within that system.

5. Does the national statistical system have mechanisms for the entities constituting that system to participate in the process of national statistical production?

□ Si

□ No

Specify the mechanisms	□ 51	I_ NO	
Institutional panels	□ Si	□ No	
Committees	☐ Si	□ No	
Institutional councils	☐ Si	□ No	
Other	□ Si	□ No	
Please spec	eify:		
6. Does the national statistical system have mechanisms process of national statistical production to the entities const			to the
Specify the mechanisms:	□ Si	□ No	
Resolutions	☐ Si	□ No	
Committee recommendations	☐ Si	□ No	
Decrees	☐ Si	□ No	
Other	☐ Si	□ No	
Please spec	eify:		

The number of countries that apply the criterion was divided by the total number of countries that completed the questionnaire (in this case, 14 countries).

The possible responses show the following:

- If the office carries out both activities, it meets the criterion
- If the office carries out only one activity, it does not meet the criterion.
- If the office does not carry out either of the activities, it does not meet the criterion.

Thus, compliance with each of the criteria is dependent on the office carrying out all of the activities specified in the form.

Below are the main findings for each principle under evaluation.

#### 2.1 Principle 2: coordination of the national statistical system

Coordination of the statistics-producing entities within the framework of the national statistical system enables national statistics activities to be planned and executed in a participatory manner, with entities maintaining close contact and working together, which is essential to improving the quality, comparability and coherence of official statistics.

Compliance criteria	Main findings	
2.1	86% of countries have legislation establishing the existence of a national statistical system and designating a governing and coordinating body.	
2.2	In 57% of countries, the coordinating body of the national statistics system establishes the guidelines, plans and programmes for statistical production at the national level.	
2.3	In 43% of countries, the coordinating body of the national statistical system establishes the parameters for participation and the responsibilities of the entities within that system.	
2.4	79% of national statistical systems have advisory committees of experts with rules of procedure for improving communication between the entities and the main users of official statistics.	

### 2.2 Principle 8: cooperation and international participation

The entities that belong to the national statistical system should cooperate in exchanging experiences and information, and participate in the joint development of statistical standards and activities at the international level.

Compliance criteria	Main findings
8.1	100% of the national statistical offices participate, with the support of international organizations, in international activities that are of statistical interest, including the joint development of standards.
8.2	93% of national statistical offices are involved in international cooperation processes with a view to sharing information and knowledge with international organizations.
8.3	64% of national statistical offices apply knowledge-sharing mechanisms within the framework of international cooperation.

#### 2.3 Principle 9: sound methodology

The production of official statistics by the national statistical office and other members of the national statistical system should be based on sound tools, procedures and expertise

Compliance criteria	Main findings
9.1	50% of national statistical offices work towards the harmonized implementation of methodologies, concepts, classifications and good practices that follow accepted national and international guidelines and directives.
9.2	100% of national statistical offices periodically evaluate the methodologies of their surveys and of their use of administrative records. These evaluations are carried out by all offices when there are problems with fieldwork and inconsistencies in the data produced.
9.3	79% of national statistical offices report a detailed concordance between national and international benchmark classifications prepared by the competent bodies.
9.4	At the design stage of statistical operations, 50% of national statistical offices permit flexibility in the design of acquisition and processing instruments in response to changes in users' needs for information.
9.5	64% of national statistical offices have academic, inter-institutional and sectoral committees to improve and evaluate the methodology used in the statistical process.

#### 2.4 Principle 10: appropriate statistical procedures

The national statistical office and other members of the national statistical system should use appropriate procedures and tools at all stages of the statistical process in order to guarantee the quality of official statistics.

Compliance criteria	Main findings
10.1	64% of national statistical offices test and validate their methodologies, questionnaires, manuals, computer applications and other tools before starting the data collection process.
10.2	29% of national statistical offices use computerized systems for the processes of data collection, codification, scrutiny and validation.
10.3	79% of national statistical offices review and update the stages of the statistical process as required by the statistical operations or research.
10.4	57% of national statistical offices use appropriate technological resources for estimates and imputation, which are regularly reviewed or updated as provided for by the design of the statistical operation.
10.5	86% of national statistical offices carry out revisions using standardized and systematic processes in accordance with the established time frame. Studies and analyses of the revisions are released to the public.
10.6	100% of national statistical offices apply concepts and definitions when using administrative records for statistical purposes that comply with the parameters required for a good-quality statistical process.

#### 2.5 Principle 11: non-excessive burden on respondents

The national statistical office and other members of the national statistical system should set objectives to progressively reduce the burden of surveys. The reporting burden should be proportionate to the needs of users and should not be excessive for the respondents.

Compliance criteria	Main findings
11.1	79% of national statistical offices have coordinated and systematic procedures for obtaining information from corporate accounts (financial information).
11.2	57% of national statistical offices limit the scope and detail of the information requested from respondents to what is strictly necessary.
11.3	93% of national statistical offices make a constant effort to use and develop techniques that reduce the burden on respondents.
11.4	79% of national statistical offices promote the shared use of data between producers of statistics in order to avoid duplicating statistical production.
11.5	57% of national statistical offices accept best estimates and approximations when exact information is not available.

#### 2.6 Principle 12: cost-effectiveness

The national statistical office and other members of the national statistical system should use resources efficiently and effectively.

Compliance criteria	Main findings
12.1	43% of national statistical offices and other members of the national statistical system monitor the use of resources.
12.2	100% of national statistical offices make proactive efforts to improve the statistical potential of administrative records and avoid costly direct surveys.
12.3	71% of countries have a national normative framework that enables members of the national statistical system to share data on companies and persons to reduce collection costs, without violating statistical confidentiality or any reservations that may exist under the law.
12.4	29% of national statistical offices use information and communication technologies to optimize the processes of generating official statistics. 93% of national statistical offices use information and communication technologies to disseminate official statistics.

#### 2.7 Principle 13: relevance

The national statistical office and other members of the national statistical system should satisfy users' information needs in accordance with their requirements.

Compliance criteria	Main findings
13.1	100% of national statistical offices base the statistics they offer at the national level on the priority information needs of the government, companies and the public at large identified using specific mechanisms and strategies.
13.2	36% of national statistical offices have mechanisms to advise, empower and inform users with regard to statistical products, as well as to consult them regularly on the practical usefulness of current statistical operations.
13.3	57% of users and producers of official statistics participate in processes of identification, analysis and assessment of information requirements through academic, inter-institutional and sectoral committees.
13.4	43% of national statistical offices conduct periodic user satisfaction surveys on official statistics.

## 2.8 Principle 14: accuracy and reliability

The official statistics produced by the national statistical office and other members of the national statistical system should accurately and reliably portray reality.

Compliance criteria	Main findings
14.1	57% of national statistical offices assess and validate the source data, intermediate results and statistical output, carrying out comparisons with other statistical data where necessary.
14.2	50% of national statistical offices analyse and document sampling errors, while 21% analyse and document non-sampling errors.
14.3	71% of national statistical offices collect data in line with the published methodology and designs to guarantee reliability.
14.4.	71% of national statistical offices conduct regular reviews of the statistical process to improve all stages.
14.5	57% of national statistical offices update methodologies periodically to comply with quality criteria for producing official statistics and to bring them into line with international standards.

### 2.9 Principle 15: timeliness and punctuality

The national statistical office and other members of the national statistical system should produce and disseminate official statistics in a timely, punctual and transparent manner.

Compliance criteria	Main findings
15.1	79% of statistics produced by national statistical offices are released as soon after their reference period as possible in order to guarantee their usefulness.
15.2	64% of national statistical offices establish a timetable for the dissemination of official statistics, taking into account, as far as possible, the needs of users and relevant international standards and commitments.
15.3	43% of national statistical offices set a specific date and time for the release of all official statistics. Any changes to the dissemination schedule are made known in advance, explained, and a new release date is set.
15.4	36% of national statistical offices correct and republish as soon as possible any significant errors identified in official statistics that have been released.
15.5	21% of national statistical offices announce any substantial updates to the methodology, processes or statistical techniques in advance of the final publication of the results.

### 2.10 Principle 16: coherence and comparability

The official statistics produced by the national statistical office and other members of the national statistical system should be consistent internally and over time, and comparable between regions and countries.

Compliance criteria	Main findings
16.1	36% of national statistical offices produce official statistics that are internally consistent and reconcilable over time.
16.2	43% of the statistics produced from the administrative records of national statistical offices consistently use classifications, definitions and concepts required for a good-quality statistical process.
16.3	64% of national statistical offices promote and use the statistical frameworks, classifications, procedures, indicators, concepts and good practices in all official statistics to increase comparability over time and between sets of data.
16.4	86% of national statistical offices promote comparability of the official statistics produced at the national and international levels.

#### **GENERAL CONCLUSIONS**

An analysis of the information provided by 14 countries in Latin America and the Caribbean shows the following strengths and weaknesses in the region:

- With regard to the principle of the coordination of the national statistical system, it is shown that 86% of countries have normative frameworks establishing the existence of a national statistics system; however 57% of offices fall short in relation to assigning responsibilities and ensuring the participation of their members in activities in the national statistical system.
- As to cooperation and international participation, 100% of the national statistical offices
  participate in international activities that are of statistical interest; nevertheless, 36% of
  offices lack knowledge-sharing mechanisms at the internal level and within the framework of
  international cooperation.
- In relation to sound methodology, 100% of national statistical offices periodically evaluate the methodologies of their surveys and of the use of administrative records; but 50% of offices do not work towards harmonizing the implementation of standards with the members of the national statistical system.
- Regarding the principle of appropriate statistical processes, 100% of national statistical offices apply concepts and definitions when using administrative records for statistical purposes that comply with the required quality parameters; but 71% of offices do not use computerized systems to collect or process data.
- With reference to placing a non-excessive burden on respondents, 93% of national statistical offices make constant efforts to use and develop techniques that reduce the burden on respondents; however, 57% of offices are not limiting the scope and detail of the information requested from respondents to what is strictly necessary.
- With regard to cost-effectiveness, 100% of national statistical offices make efforts to promote the use of administrative records, but 71% do not use information and communication technologies to optimize their processes.
- As to relevance, 100% of national statistical offices base the statistics they offer at the national level on the country's priority information needs, identified using specific mechanisms and strategies; however, 64% of offices lack mechanisms to advise, empower and inform users with regard to statistical products.
- As far as the principle of accuracy and reliability is concerned, 71% of national statistical offices collect data in line with the published methodology and designs; nevertheless, 50% of national statistical offices do not analyse or document sampling errors, while an even greater proportion do not analyse or document non-sampling errors.

- As to timeliness and punctuality, 79% of national statistical offices make an effort to release the statistics they produce as soon as possible after their reference period; however, 79% of national statistical offices fail to announce any substantial updates to the methodology, processes or statistical techniques used in advance of the final publication of the results.
- In relation to the principle of coherence and comparability, 86% of national statistical offices promote comparability of statistical products at the national and international levels, but 64% of offices fall short in terms of producing official statistics that are internally consistent and reconcilable over time.

#### Annex 1

## QUESTION-BY-QUESTION FINDINGS FROM THE QUESTIONNAIRE

#### A. Institutional environment and coordination

#### Principle 2: coordination of the national statistical system

Coordination of the statistics-producing entities within the framework of the national statistical system enables national statistics activities to be planned and executed in a participatory manner, with entities maintaining close contact and working together, which is essential to improving the quality, comparability and coherence of official statistics.

2.1	Countries have legislation establishing the existence of a rand coordinating body.	national statistics system and designating a gover	ning
1.	Percentage of countries with legislation establishing the existence of a national statistics system and designating a governing and coordinating body.	93% of countries have a legal framework providing the existence of a national statistical system.	ng for
2.	Number of offices per entity that serves as the governing and coordinating body for national statistical activity.		
		National statistical office	14
		National statistical council	1
		Other ministry of planning and finance	1
3.	Percentage of national statistical offices with advisory bodies on topics relating to the national statistical system.	64% of offices have advisory bodies on topics re the national statistical system.	lating to
		Number of offices per type of advisory body:	
		National statistical council	6
		Planning council	1
		Advisory committee	3
2.2	The coordinating body of the national statistics system sh for statistical production at the national level.	ould establish the guidelines, plans and program	imes
4.	Percentage of national statistical offices with a statistical plan establishing methodological guidelines on national statistical	57% of countries have a strategic plan.	
	production.	Number of offices by frequency with which plan updated:	is
		Every four years	1
		Every five years	2
		Other: Constantly, with no fixed periodicity, according to national statistical plans.	5

2.3	The coordinating body of the national statistical system state the responsibilities of the entities within that system.	hould establish the parameters for participation a	ınd
5.	Percentage of national statistical offices with mechanisms for the entities constituting that system to participate in the	93% of offices have mechanisms for participation.	
	process of national statistical production.	Number of offices per type of mechanism:	
		Inter-institutional panels	6
		Committees	13
		Institutional councils	3
		Other mechanisms: Inter-institutional meetings and commissions.	3
	Percentage of national statistical offices with mechanisms for assigning responsibilities to the entities constituting the national statistical system.	50% of offices have mechanisms for assigning responsibilities.	
	•	Number of offices per type of mechanism:	
		Resolutions	
		Committee recommendations	
		Decrees	
		Other mechanisms: National statistical plans, planning legislation and national development plan.	•
.4	National statistical systems should have advisory committee communication between the entities and the main users o		ing
	Percentage of national statistical offices with committees of experts from other statistics-producing entities.	79% have committees of experts from other entities	es.
		Number of offices per type of committee:	
		Academic committees	
		Inter-institutional committees	
		Sectoral committees	1
		Other types of committee: Special inter- institutional commissions and commissions set up for specific purposes at the request of other entities.	4

## Principle 8: cooperation and international participation

The entities that belong to the national statistical system should cooperate in exchanging experiences and information, and participate in the joint development of statistical standards and activities at the international level.

14. Number of national statistical offices by area of participation in international activities.	Subject areas:	
	Economic	13
	Social	14
	Environmental	13
	Strengthening of the national statistical system	12
	Other: Information system, population census, agricultural census, Millennium Development Goals and institution-building.	9
8.2 National statistical offices should be involved in internatio information and knowledge with international organization		
15. Percentage of national statistical offices that share their experiences and knowledge with other countries in the region.	93% of countries share their knowledge and exper with other countries in the region.	iences
16. Percentage of national statistical offices that have received knowledge and support in relation to different areas of coordination and statistical production.	100% of countries had received knowledge and su on different subject areas.	pport
coordination and statistical production.	Number of offices that have received support from following organizations:	n the
	Andean Community	3
	United Nations agencies	14
	Economic Commission for Latin America and the Caribbean (ECLAC)	13
	Statistical Office of the European Communities (EUROSTAT)	13
	Inter-American Development Bank (IDB)	13
	World Bank	12
	National statistical offices of other countries	14
	Other: Organization for Economic Cooperation and Development (OECD); United Nations Population Fund (UNFPA); International Monetary Fund (IMF); Japan International Cooperation Agency (JICA); Korea International Cooperation Agency (KOICA), Vietnam Academy; Spanish Agency for International Development Cooperation (AECID); Canadian International Development Agency (CIDA); Swedish International Development Cooperation Agency (SIDA); United Nations Development Fund for Women (UNIFEM); Latin American Integration Association (ALADI); Partnership in Statistics for Development in the 21st Century (PARIS21); and Caribbean Community (CARICOM).	9

#### 8.3 Knowledge-sharing mechanisms should be developed within the framework of international cooperation.

- 17. Percentage of national statistical offices with a unit responsible for international technical cooperation activities.
- 18. Percentage of national statistical offices with mechanisms for knowledge-sharing within the unit to promote international cooperation activities.

79% of offices have a unit responsible for international technical cooperation.

71% of the units responsible for international cooperation conduct knowledge-sharing initiatives.

Number of offices per type of knowledge-sharing mechanism.

mechanism.	
Internships	8
Workshops	9
Courses	10
Study visits	6
Conferences	8
Seminars	9
Online courses	3
Other: Web portal under development, Census and Survey Processing System (CSPro),	3

Microsoft .NET.

Videoconferences.

#### **B.** Statistical process

#### **Principle 9: sound methodology**

The production of official statistics by the national statistical office and other members of the national statistical system should be based on sound tools, procedures and expertise.

#### 9.1 National statistical offices should work towards the harmonized implementation of methodologies, concepts, classifications and good practices that follow accepted national and international guidelines and directives.

25. Percentage of national statistical offices with a body to
promote the implementation of methodologies, concepts,
classifications and good practices in the statistical process.

93% of offices have a unit responsible for promoting the implementation of standards.

10

2

Number of offices per type of unit: Internal unit

All units promote the implementation of standards internally.

26. Number of national statistical offices according to the percentage of investigations carried out in accordance with nationally and internationally accepted recommendations and directives on standards relating to specific areas of the statistical process.

Concepts:	
75%	13
75%-50%	1
Classifications:	
75%	13
75%-50%	1
Methodologies:	
75%	10
75%-50%	4
Good practices:	
75%	8
75%-50%.	3
49%-25%	3

9.2	The methodology of surveys and the use of administrativ when necessary to guarantee the high quality of the prod		djusted
27.	Percentage of national statistical offices that conduct quality assessments of the methodologies used for their surveys and	100% conduct quality assessments.	
	administrative records.	Number of offices per type of assessment:	
		Self-assessment based on quality reports	11
		Self-assessment based on indicators	13
		Self-assessment based on a description of the process	12
		External evaluation based on instruments	7
		External evaluation based on evidence	6
28.	Number of national statistical offices according to the circumstances in which quality assessments are carried out	Circumstances:	
	on the methodologies used for surveys and	Problems with field work	14
	administrative records.	Consistency in the figures produced	14
		New requests for information	10
		Improving the quality of the entity's products	11
		Recommendations by committees of experts	11
		Periodically	5
		Other: Analysis of non-respondents; mirror statistics in the case of foreign trade registers and censuses.	4
9.3	the competent bodies.		Jareu by
29.	Percentage of national statistical offices where differences exist between benchmark classifications and the	Differences were found in 43% of offices.	
	classifications implemented by the office.	Differences were found in relation to the following classifications:	ng
		Classification of economic activities, products, occupations, Classification of Individual Consur by Purpose (COICOP), North American Industry Classification System (NAICS), General Industry Classification of Economic Activities within the European Communities (NACE), International Standard Industrial Classification of All Economic Activities (ISIC), new categories and adaptation of the language used.	y ial Standard
30.	Percentage of national statistical offices that use their own explanatory notes for the major international classifications.	79% of offices that use their own explanatory no	otes.
		Number of offices that use their own explanator for the following types of classification:	y notes
		Economic	11
		Social	11

9.4	At the design stage of statistical operations, flexibility is prinstruments in response to changes in users' needs for inf	permitted in the design of acquisition and processiformation.	ing
31.	Percentage of national statistical offices that carry out an analysis of requirements at the design stage of statistical operations in order to reflect users' needs for information in the acquisition and processing instruments.	86% of offices conduct an analysis of requiremen	ts.
32.	Number of offices that make adjustments to the collection and processing instruments at the data collection stage, by	Collection instruments:	
	percentage of statistics.	Over 75%	2
		49%-25%	2
		Under 25%	5
		Processing instruments:	
		Over 75%	2
		49%-25%	2
		Under 25%	6
9.5	Academic, inter-institutional and sectoral committees shoused in the statistical process.	ould be set up to evaluate and improve the method	dolog
33.	Percentage of national statistical offices that have academic,	79% of countries have such committees.	
	inter-institutional and sectoral committees to improve the methodology used in the statistical process.	Number of countries by area of work:	
		Statistical design	6
		Systems design	2
		Operational design	4
		Thematic design	10
		Other: Demographic census, price indices and validation of information.	2
34.	Number of national statistical offices that hold regular		
	meetings with experts to review various topics.	Users' requests for information	9
		Methodological approaches	9
		Sampling design	9
		Questionnaire design	9
		Acquisition instruments	7
		Processing instruments	3
		Findings	8
35.	Percentage of national statistical offices that have submitted their statistical methodologies to external review in the last	64.28% have submitted their methodologies for re	eview
	three years.	Number of countries by thematic area:	
		Operational	4
		Thematic	7
		Systems	3
		Statistical	6
		The whole process	3
		Other: findings	1

## Principle 10: appropriate statistical procedures

The national statistical office and other members of the national statistical system should use appropriate procedures and tools at all stages of the statistical process in order to guarantee the quality of official statistics.

2. Number of national statistical offices by percentage of the	Desk review	
questionnaires, manuals and computer applications that are tested before starting the data collection process or including	Over 75%	13
new questions.	Pretest:	
	Over 75%	11
	Under 25%	2
	Pilot study:	
	Over 75%	10
	75%-50%	1
	49%-25%	2
	Under 25%	1
0.2 Computerized systems should be used for the processes o	f data collection, codification, scrutiny and valida	ation.
43. Number of national statistical offices according to the percentage of surveys for which the office uses computerize	Data collection	
systems for different processes.	Over 75%	4
	75%-50%	2
	49%-25%	1
	Under 25%	4
	Codification	
	Over 75%	6
	75%-50%	2
	49%-25%	3
	Under 25%	2
	Scrutiny and validation:	
	Over 75%	10
	75%-50%	2
	Under 25%	2
4. Percentage of national statistical offices that carry out an assessment of the level of functionality of the computerized	79% assess the functionality of their systems.	
systems used in the statistical process.	Number of offices according to the percentage of computerized systems subject to such assessment	
	Over 75%	9
	75%-50%	1
	49%-25%	1

## 10.3 The stages of the statistical process should be reviewed or updated as required by the statistical operations

. Number of national statistical offices that have reviewed o updated processes associated with their surveys to a greate		
or lesser extent in the last three years.		
	Over 75%	
	75%-50%	
	49%-25%	
	Under 25%	
	In the design process:	
	Over 75%	
	75%-50%	
	Under 25%	
	In the execution stage:	
	Over 75%	
	75%-50%	
	Under 25%	
	In the analysis process:	
	Over 75%	
	75%-50%	
	Under 25%	
	In the dissemination process:	
	Over 75%	
	75%-50%	
	49%-25%	
	Under 25%	
. Percentage of national statistical offices with procedures to avoid overcoverage, undercoverage and the erroneous	93% of offices implement such procedures.	
classification of statistical units.	Number of countries by type of procedure:	
	Check the framework against other comparable sources in relation to the target population of the study	1
	Analyse questionnaires returned because of duplicates, deaths, units outside the universe and change of characteristics	1
	Use specific questions in the questionnaire	1
	Verify the framework	1
	Update the framework to determine changes	1
	Check the consistency of the recount against other sources	
	Use assessment information from other surveys	
	Check the maps to ensure a clear delineation	1
	Other: Post-census assessment surveys	

47. Number of national statistical offices according to the	Operational supervision:	
percentage of the surveys to which different processes	Over 75%	12
are applied.	75%-50%	1
	Technical supervision:	
	Over 75%	13
	Under 25%	1
	Routine re-interview	
	Over 75%	4
	75%-50%	6
	49%-25%	1
	Under 25%	3
	Re-interview if inconsistencies are found:	
	Over 75%	7
	75%-50%	3
	49%-25%	1
		ularly
10.4 Appropriate technological resources should be used fo reviewed or updated as provided for by the design of the Number of national statistical offices according to the	r estimates and imputation and should be reg ne statistical operation.	
	estimates and imputation and should be reg	
reviewed or updated as provided for by the design of the 48. Number of national statistical offices according to the	r estimates and imputation and should be reg ne statistical operation.  For estimates:	ularly
48. Number of national statistical offices according to the percentage of the surveys for which common systems	r estimates and imputation and should be regue statistical operation.  For estimates:  Over 75%	ularly 10
48. Number of national statistical offices according to the percentage of the surveys for which common systems	r estimates and imputation and should be regne statistical operation.  For estimates:  Over 75% 75%-50%	ularly  10 1
48. Number of national statistical offices according to the percentage of the surveys for which common systems	r estimates and imputation and should be regine statistical operation.  For estimates: Over 75% 75%-50% Under 25%	ularly  10 1
48. Number of national statistical offices according to the percentage of the surveys for which common systems	r estimates and imputation and should be regne statistical operation.  For estimates: Over 75% 75%-50% Under 25%  For imputation:	10 1 3
48. Number of national statistical offices according to the percentage of the surveys for which common systems	r estimates and imputation and should be regine statistical operation.  For estimates: Over 75% 75%-50% Under 25%  For imputation: Over 75%	10 1 3
reviewed or updated as provided for by the design of the surveys for which common systems are used.  49. Percentage of national statistical offices that have measures	r estimates and imputation and should be regne statistical operation.  For estimates: Over 75% 75%-50% Under 25%  For imputation: Over 75% 75%-50%	10 1 3 8 1
reviewed or updated as provided for by the design of the surveys for which common systems are used.	r estimates and imputation and should be regine statistical operation.  For estimates: Over 75% 75%-50% Under 25%  For imputation: Over 75% 75%-50% Under 25%	10 1 3 8 1 5
reviewed or updated as provided for by the design of the surveys for which common systems are used.  49. Percentage of national statistical offices that have measures	r estimates and imputation and should be regine statistical operation.  For estimates: Over 75% 75%-50% Under 25%  For imputation: Over 75% 75%-50% Under 25%  50% of offices have such measures.  Number of offices by percentage of cases in	10 1 3 8 1 5
reviewed or updated as provided for by the design of the surveys for which common systems are used.  49. Percentage of national statistical offices that have measures	r estimates and imputation and should be regine statistical operation.  For estimates: Over 75% 75%-50% Under 25%  For imputation: Over 75% 75%-50% Under 25%  50% of offices have such measures.  Number of offices by percentage of cases in measures are applied	10 1 3 8 1 5 n which thes

50.	Number of national statistical offices according to the use of different imputation methods.	Cold-deck imputation	
		Over 75%	2
		75%-50%	2
		49%-25%	1
		Under 25%	9
		Hot-deck imputation	
		Over 75%	6
		49%-25%	1
		Under 25%	7
		Other methods: One office uses the relative average in 75%-5 processes and has not been assessed. Another office uses a method based on hot-de imputation in under 25% of processes and has assessed.	eck
		One office uses regression in 49%-25% of prohas been assessed.  One office uses calibration of regression mod of processes and has been assessed.	
51.	Percentage of national statistical offices that document imputation processes.	100% of offices document imputation process	ses.
	imputation processes.	Number of offices by percentage of cases in v document imputation processes:	which they
		Over 75%	8
		75%-50%	1
		49%-25%	3
		Under 25%	2
10.	5 Revisions should be carried out using standardized and s time frame. Studies and analyses of the revisions are rele		stablished
52.	Percentage of national statistical offices that submit specific information to users specialized in reviewing the statistical process of official statistics through committees or at meetings.	93% of offices provide specific information to committees or at meetings.	hrough
53.	Percentage of national statistical offices that implement procedures to document revisions relating to official statistics.	92.85% of offices implement procedures to d revisions.	ocument
	sutistics.	Number of offices by procedure:	
		Methodologies with registered changes and updates	12
		Reports on the changes and updates for the public	12

# 10.6 The concepts and definitions applied when administrative records are used for statistical purposes must comply with the parameters required for a good-quality statistical process.

with the parameters required for a good-quality statistica	il process.	
54. Percentage of national statistical offices that interact with other statistics-producing entities in processes to standardize	100% of offices interact with other statistics-procentities in standardization processes.	lucing
concepts, definitions, classifications and procedures.	Number of offices by frequency of that interaction	n:
	Always	4
	Almost always	9
	Rarely	1
55. Percentage of national statistical offices that monitor the	100% of offices conduct such monitoring.	
implementation of standards relating to concepts, definitions,	Number of offices per type of mechanism:	
classifications and procedures in the entities belonging to the	Committees	10
national statistical system.	Working groups	11
	Other: Inter-institutional meetings and commissions, with the central bank, national classification commission and national cartographic commission.	3

#### Principle 11: non-excessive burden on respondents

The national statistical office and other members of the national statistical system should set objectives to progressively reduce the burden of surveys. The reporting burden should be proportionate to the needs of users and should not be excessive for the respondents.

11.1 Natio	statistical offices should have coordinated and systematic procedures for obtaining information fr	om
corpo	te accounts (financial information).	

11.2 The scope and detail of the information requested from respondents for statistical operations should be limited to what is strictly necessary.

11.3 A constant effort should be made to use and develop techniques that reduce the burden on respondents.

62. Number of national statistical offices according to the mechanisms they use to reduce the burden on respondents.	Mechanisms: Use of administrative records	6
	Avoid repeating questions on different surveys	8
	Design data collection instruments that gather only the information that is strictly necessary	11
	Develop sampling techniques that reduce the burden on respondents	9
63. Percentage of national statistical offices with systematized instruments for transmitting the requested information.	79% of offices have such mechanisms.	
64. Percentage of national statistical offices with an obligation to reduce the burden on respondents.	57% of offices are under an obligation to reduce th burden on respondents.	e
	Number of offices per type of mechanism:	
	Regulations	4
	Requirements	3
	Internal policies	6
65. Percentage of national statistical offices that measure the	93% measure the burden on respondents.	
burden on respondents.	Number of offices by how often they measure that bu	ırden:
	Always	5
	Almost always	6
	Rarely	2

66. Percentage of offices with procedures for sharing data	79% have such procedures.	
between statistics-producing entities.	Number of offices by procedure:	
	Protocols on delivery of information	7
	Inter-institutional agreements	11
	National database	3
	Other: Central databank, online systems and websites.	3
57. Percentage of offices that share data with statistics-producing entities.	92.85% share information with statistics-produci entities.	ng
	Number of offices per type of entity:	
	Inter-institutional	13
	Sectoral	11
	Academic	10
	international agencies	6
11.5 Best estimates and approximations are accepted when exa	ct information is not available.	
68. Percentage of offices that allow respondents to make approximations or estimates when the information is not	57% of offices grant permission to make estimate approximations.	es or
available.	Number of offices by frequency:	
	Always	1
	Almost always	4
	Rarely	3

## **Principle 12: cost-effectiveness**

The national statistical office and other members of the national statistical system should use resources efficiently and effectively.

12.1 The use of resources by the statistics-producing entities should be monitored.		
75. Percentage of offices with an entity responsible for monitoring	100% of offices have such an entity.	
the distribution and execution of allocated resources.	Number of countries per type of entity:	
	External body	8
	Internal unit of the office	13
76. Number of offices by extent to which they carry out	Human resources:	
performance assessments in relation to the use of	Over 75%	11
various resources.	75%-50%	1
	49%-25%	2
	Financial resources:	
	Over 75%	11
	75%-50%	1
	49%-25%	1
	Technological resources:	
	Over 75%	7
	75%-50%	1
	49%-25%	3
	Under 25%	3

#### 12.2 Proactive efforts should be made to improve the statistical potential of administrative records and avoid costly direct surveys. 77. Percentage of offices that provide advice to the different 100% provide advice to the different entities of the entities of the national statistical system to strengthen the use national statistical system through: of administrative records for statistical purposes. Inter-institutional committees, courses, basic advice in a working group setting, technical assistance, through agreements and central statistics committees, the setting up and functioning of specific working groups, meetings, interventions, workshops and training sessions. Certain requested assistance on specific issues is also included. 12.3 A national normative framework enables members of the national statistical system to share data on companies and persons to reduce collection costs, without violating statistical confidentiality or any reservations that may exist under the law. 78. Number of offices with a framework allowing for the shared Sources: use of data on respondents between the members of the Companies 11 national statistical system. 10 Households Governments 11 79. Percentage of offices with procedures to ensure the 100% have such procedures. confidentiality of the data shared between the members of Procedures: the national statistical system. Statistical tables and data cubes 11 Archives of anonymized microdata, public use 12 Archives of anonymized microdata, licensed access 4 Other: Accelerated Data Programme (PAD), Data Documentation Initiative (DDI) and Standard 2 Data and Metadata Exchange (SDMX) 12.4 Information and communication technologies should be used to optimize the processes of generating and disseminating official statistics. 80. Number of offices using information and communication Data collection: technologies to varying extents in the execution stage of Over 75% 2 the statistical process. 75%-50% 2 49%-25% 4 Under 25% Data input: Over 75% 75%-50% 49%-25% Under 25% 3 Scrutiny: Over 75% 75%-50% 4 49%-25% Under 25% 3 Consolidation: Over 75% 13 49%-25% Validation, consistency and imputation: Over 75% 12 75%-50% 2

## C. Statistical output

## Principle 13: relevance

The national statistical office and other members of the national statistical system should satisfy users' information needs in accordance with their requirements.

87. Mechanisms by which the national statistical office ranks the production of national statistical information in order of priority.	The offices prioritize information using: National strategic plans for statistical development, national development plan, inter-institutional committees, a satisfaction surveys, multi-year plans and national council on economic and social policy.	ıser
88. Number of offices that use instruments to identify users' statistical information needs.	Instruments:	
	Thematic panels	9
	Institutional plans	10
	Sectoral plans	9
	National development plan	10
	National normative framework	9
	Requirements of international agencies	13
	Other: National Statistical Conference, specific requests from government authorities and public bodies.	3
39. Percentage of national statistical offices that have agreements with other statistics-producing entities.	93% have signed agreements with other statistics-producing entities.	
	Number of offices by focus of the agreement:	
	Surveys	13
	Administrative records	13
	Support for methodological design	13
	Other agreements: Training.	2
13.2 The entity should have mechanisms to advise, empower as well as to consult them regularly on the practical useful		s,
90. Number of offices that offer a variety of services to users.	Services offered:	
	Advisory services	10
	Training	11
	Dissemination of products and statistical methodologies	10
	36% of offices conduct user satisfaction surveys.	
O1. Percentage of offices that carry out a periodic user satisfaction survey to ascertain the level of satisfaction with the practical usefulness of each of the statistical operations and investigations.	Number of offices by how often such surveys are conducted:	
satisfaction survey to ascertain the level of satisfaction with the practical usefulness of each of the statistical operations	Number of offices by how often such surveys are	1
the practical usefulness of each of the statistical operations	Number of offices by how often such surveys are conducted:	1
satisfaction survey to ascertain the level of satisfaction with the practical usefulness of each of the statistical operations	Number of offices by how often such surveys are conducted: Monthly	

## 13.3 Users and producers of official statistics should participate in processes of identification, analysis and assessment of information requirements through academic, inter-institutional and sectoral committees.

92. Percentage of offices with academic, inter-institutional and	93% convene such committees.	
sectoral committees to develop the statistical process.	Number of offices by stage of the process in wh committees are involved:	ich the
	Planning	12
	Design	11
	Execution	10
	Analysis	11
	Dissemination of findings	11
93. Percentage of offices that encourage major users to participate in the processes of identifying, analysing and assessing information requirements.	In 79% of the offices, the committees promote t participation of major users.	he
94. Percentage of offices with mechanisms for reviewing and evaluating committee recommendations.	57% of offices carry out processes to review and evaluate the recommendations.	1
95. Percentage of offices that take account of committee recommendations when initiating subsequent programmes	71% have started new programmes of work on to of committee recommendations.	he basis
of work.		
of work.		
of work.  13.4 User satisfaction surveys on official statistics should be		
of work.  13.4 User satisfaction surveys on official statistics should be	conducted periodically.  43% of national statistical offices conduct user	
of work.  13.4 User satisfaction surveys on official statistics should be	conducted periodically.  43% of national statistical offices conduct user satisfaction surveys.	2
of work.  13.4 User satisfaction surveys on official statistics should be	conducted periodically.  43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users:	2 3
of work.  13.4 User satisfaction surveys on official statistics should be	conducted periodically.  43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users	
of work.  13.4 User satisfaction surveys on official statistics should be	43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users Academics and researchers	3
of work.  13.4 User satisfaction surveys on official statistics should be Percentage of offices that carry out user satisfaction surveys.	conducted periodically.  43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users Academics and researchers Users of the web page	3 6
of work.  13.4 User satisfaction surveys on official statistics should be Percentage of offices that carry out user satisfaction surveys.	43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users Academics and researchers Users of the web page Users of the databanks	3 6
of work.  13.4 User satisfaction surveys on official statistics should be Percentage of offices that carry out user satisfaction surveys.	43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users Academics and researchers Users of the web page Users of the databanks  86% keep a directory of users.  Number of offices by frequency with which the	3 6
of work.  13.4 User satisfaction surveys on official statistics should be Percentage of offices that carry out user satisfaction surveys.	conducted periodically.  43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users Academics and researchers Users of the web page Users of the databanks  86% keep a directory of users.  Number of offices by frequency with which the directory is updated:	3 6 6
	43% of national statistical offices conduct user satisfaction surveys.  Number of offices per group of users: Government users Academics and researchers Users of the web page Users of the databanks  86% keep a directory of users.  Number of offices by frequency with which the directory is updated: Weekly	3 6 6

## Principle 14: accuracy and reliability

The official statistics produced by the national statistical office and other members of the national statistical system should accurately and reliably portray reality.

14.1 Source data, intermediate results and statistical output should be assessed and validated, and compare other statistical information where necessary.			and compared with
104.	Number of offices that calculate the accuracy of a	Estimates:	
	percentage of their estimates.	Over 75%	8
		75%-50%	2
		49%-25%	2
		Under 25%	1

5. Number of offices that calculate quality indicators for	Coefficient of variation:	
a percentage of their statistics.	Over 75%	7
	75%-50%	1
	49%-25%	3
	Under 25%	2
	Confidence intervals:	
	Over 75%	6
	75%-50%	1
	49%-25%	3
	Under 25%	2
	Non-response rate	
	Over 75%	7
	75%-50%	1
	49%-25%	2
	Under 25%	3
	Item non-response rate:	
	Over 75%	3
	75%-50%	3
	49%-25%	2
	Under 25%	5
	Imputation rate:	
	Over 75%	4
	75%-50%	3
	49%-25%	1
	Under 25%	3
	Overcoverage rate:	
	Over 75%	5
	75%-50%	1
	49%-25%	2
	Under 25%	4
	Rate of classification errors:	
	Over 75%	1
	75%-50%	2
	49%-25%	3
	Under 25%	7
	Average size of revisions:	
	Over 75%	1
	49%-25%	4
	Under 25%	8

106.	Number of offices by the percentage of surveys for which	Data collection:		
	they carry out a follow-up process on the different stages of execution that diverge from the published methodology	Over 75%	12	
	and designs.	Scrutiny:		
		Over 75%	11	
		Under 25%	2	
		Data input:		
		Over 75%	11	
		Under 25%	2	
		Validation:		
		Over 75%	10	
		75%-50%	2	
14.4	Regular reviews of the statistical process should be under	rtaken to improve all	stages.	
107.	Percentage of offices that conduct reviews of the different stages of the design of the statistical process.	79% of offices conduct such reviews.		
		Number of offices per stage:		
		Thematic design 1		
		Statistical design	10	
		Operational design	11	
		Systems design	11	
108.	Percentage of offices with mechanisms to control for bias in different activities of the statistical process.	86% of offices have mechanisms to control for bias.		
	•	Activities and mechanisms:		
		Production of	Constant updating,	
		sampling frameworks	representativity of the samples, quality and coverage assessments	
		Sampling design process and estimation	Probabilistic, stratified sampling designs, proxy variables, statistical analysis with test samples, methods for dealing with non-response and atypical values.	
		Design of data collection instruments	Closed questions, questions in the field, testing of questionnaires, verification through sampling in the field, pretests and pilot studies.	
		Training	Tests, courses, technical assistance, theoretical and practical assessments, and optima training plan.	

14.5	Methodologies should be updated periodically to comply and to bring them into line with international standards.	with quality criteria for producing official statistics	š
109.	Percentage of national statistical offices that have updated their statistics methodologies in the last three years.	86% of offices have updated their methodologies in the last three years.  Number of offices by sub-process and percentage:	
		Statistical design:	
		Over 75%	7
		75%-50%	3
		49%-25%	2
		Under 25%	2
		Operational design:	
		Over 75%	7
		75%-50%	2
		49%-25%	3
		Under 25%	2
		Thematic design:	
		Over 75%	7
		75%-50%	2
		49%-25%	1
		Under 25%	4
		Systems design:	
		Over 75%	6
		75%-50%	4
		49%-25%	2
		Under 25%	2

## Principle 15: timeliness and punctuality

The national statistical office and other members of the national statistical system should produce and disseminate official statistics in a timely, punctual and transparent manner.

15.1	5.1 Statistics should be released as soon after the reference period as possible in order to ensure that they are timely and useful.		
116.	Percentage of offices with monitoring mechanisms to ensure the timely release of statistics.	79% of offices have monitoring mechanisms.	
	•	Number of offices per type of mechanism:	
		Protocols agreed on with users	5
		Guidelines and directives	7
		Methodologies	6
		Time frames established by the entity for each investigation	10

15.2	A timetable for the dissemination of official statistics sho the needs of users and relevant international standards a		as possible,
117.	Number of countries in which the schedule of statistical	Standards:	
	production is subject to various mechanisms, by	Over 75%	7
	percentage of statistics.	49%-25%	3
		Under 25%	3
		Periodic user consultations:	
		Over 75%	2
		49%-25%	4
		Under 25%	7
		Periodic consultation of committees of exper	ts:
		75%-50%	4
		49%-25%	3
		Under 25%	7
		International recommendations:	
		Over 75%	5
		75%-50%	5
		49%-25%	3
		Under 25%	1
		Resources:	
		Over 75%	3
		75%-50%	4
		49%-25%	3
		Under 25%	4
15.3	A specific date and time should be set for the release of a schedule are made known in advance, explained, and a r		nination
118.	Percentage of offices with a release schedule.	71% of offices have a release schedule.	
		Number of offices with each type of schedule structure:	
		Date and time	4
		Date	5
		Other: month	1
119.	Percentage of offices that publish information on changes in the schedule in advance.	43% of offices publish information on chang- schedule in advance.	es to the
		Number of countries by frequency:	
		Always	3
			3 2

15.4	Any significant errors identified in official statistics that has soon as possible.	nave been released should be corrected and re	published
120.	Percentage of offices with procedures for recording information on errors identified in published statistics.	79% of offices have such procedures.	
		Number of offices by type of procedure:	
		Estimates	6
		Preliminary figures	9
		Revised figures	8
121.	Percentage of offices that have protocols on how to inform users of the corrected data.	36% of offices have such protocols.	
15.5	Any substantial updates to the methodology, processes or in advance of the final publication of the results.	statistical techniques should be announced	
122.	Number of offices that make updates available to users before the publication of results.	Results:	
		Methodologies	10
		Statistical processes	7
		Microdata	6
		Statistical techniques	

## Principle 16: coherence and comparability

The official statistics produced by the national statistical office and other members of the national statistical system should be consistent internally and over time, and comparable between regions and countries.

129. Number of couproportion of t	intries that apply standardized practices to a	Compare annual results with short-term results:	
p-op-o-o-o-o		Over 75%	8
		75%-50%	1
		Under 25%	5
		Compare provisional results with final results:	
		Over 75%	11
		75%-50%	1
		Under 25%	2
		Compare with national accounts:	
		Over 75%	4
		75%-50%	2
		49%-25%	4
		Under 25%	4

# 16.2 Statistics produced from administrative records consistently use classifications and concepts required for a good-quality statistical process.

130.	Number of countries that revise and adapt administrative	Classifications:	
	records for use in statistics production.	Over 75%	4
		75%-50%	5
		49%-25%	5
		Concepts:	
		Over 75%	2
		75%-50%	5
		49%-25%	6
		Under 25%	1
		Definitions:	
		Over 75%	2
		75%-50%	5
		49%-25%	6
		Good practices:	
		Over 75%	2
		75%-50%	4
		49%-25%	5
		Under 25%	2

## 16.3 Joint statistical frameworks, classifications, procedures, indicators, concepts and good practices should be promoted and used in all official statistics to increase comparability over time and between sets of data.

	promoted and used in all official statistics to increase comparability over time and between sets of data.			
131.	Number of offices by the percentage of statistics that use common standards for the following areas:	Census sampling frameworks: Over 75%	9	
		75%-50%	3	
		49%-25%	1	
		Under 25%	1	
		Classifications:		
		Over 75%	10	
		75%-50%	3	
		49%-25%	1	
		Concepts:		
		Over 75%	7	
		75%-50%	5	
		49%-25%	1	
		Under 25%	1	
		Definitions:		
		Over 75%	7	
		75%-50%	5	
		49%-25%	1	
		Under 25%	1	
		Good practices:		
		Over 75%	5	
		75%-50%	4	
		49%-25%	4	
		Under 25%	1	

16.4	6.4 Comparability at the national and international levels of the statistics produced should be promoted.		
132.	Percentage of offices with mechanisms for following up on implementation of and compliance with international	85.71% of offices have such mechanisms.	
	guidelines or agreements related to statistical	Number of offices per type of mechanism:	
	comparability.	Working groups	11
		Inter-institutional, sectoral and academic committees	7
		Reports on findings	7

#### Annex 2

#### **QUESTIONNAIRE**

Formulario de autoevaluación de medición frente a un conjunto de principios seleccionados del Código de buenas prácticas estadísticas en América Latina y el Caribe.

## Aim of the questionnaire

The questionnaire aims to find out the current condition of the National Statistical Offices regarding of their institutional environment and coordination, statistical process and statistical outputs. This initiative attends the mandate of the Fifth meeting of the Conference in the region to promote the development of a Regional Code of Practice in Statistics for Latin America and the Caribbean.

#### **RESPONDENT'S DETAILS**

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1.	( '\)	untry:
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- 2. National Statistical Office:
- **3.** Responsible for completing the questionnaire:
- 4. Position:
- 5. Address:
- 6. Phone number:
- **7.** E mail:
- **8.** Date:

Choose only one answer option corresponding to each question, except those in which multiple choices is allowed explicitly. In those questions when is asked to specify, please be brief and precise.

Each section is composed of principles and each of them is assessed in two parts: the first is to measure the current status of the National Statistical Office against each compliance criteria and the second measured strengths, weaknesses and improvement actions against the compliance with the principle and their respective criteria.

## I. INSTITUTIONAL ENVIRONMENT AND COORDINATION

Current status of the National Statistical Office in relation to the principle of coordination of the NSS

#### Principle 2. Coordination of the national statistical system

The Statistics producing institutions' coordination within the national statistical system enables the quality, comparability and consistency of official statistics to be improved.

**Compliance criteria 2.1**: the existence of the NSS is specified in national law which designates the managing and coordinating body for the purposes of rationalizing the country's statistical activities.

1.	Does	your legislation estipulate the existence of the Natio	onal St	atistical System?	
		Yes Please state the rule that regulates:			
		No			
2.	What	organization has the lead and coordinator's role of	the sta	itistical activities in	your country?
	a.	National Statistical Office			
	b.	National Statistical council			
	C.	Ministry:		Please state:	
	d.	Others:		Please state:	
3.		e national statistical activities count on advisory botical Systems?	dies fo	or the different top	ics of the Nationa
	Р	lease specify:		☐ Yes	□ No
	а	. Advisory council		☐ Yes	□ No
	b	. National Statistical council		☐ Yes	□ No
	C.	. Planning council		Yes	□ No
	d	. Advisory committee		☐ Yes	□ No

**Compliance criteria 2.2**: the managing and coordinating body lays down methodological guidelines when drawing up statistical plans and programs for the relevant entities of the national statistical system.

4.		our organization a statistical plan or progral statistical production?	m establish	ing metho	dology guide	lines for the
	Pleas	se specify, how often is it updated?:	☐ Y	es	□ No	
	a.	Every four years				
	b.	Every five years				
	C.	Others,		Please st	ate:	
		criteria 2.3: the managing and coordinating bility for their entities.	g body esta	blishes pa	rameters for	participation
5.	_	the National Statistical System have discuss cal process?	ion groups	to make pa	articipation in	the national
	Please	specify, what sort of groups:			☐ Yes	☐ No
	a.	Institutional meetings			☐ Yes	☐ No
	b.	Committees			☐ Yes	☐ No
	C.	Institutional councils			☐ Yes	☐ No
	d.	Others,			☐ Yes ¬	□ No
			Plea	se state:		
6.	_	the National Statistical System have mechal statistical process?	anisms for a	assignatior	of responsib	pilities in the
	Please	specify, what are the mechanisms?:			☐ Yes	☐ No
	a.	Resolutions			☐ Yes	☐ No
	b.	Recommendations results of committees			☐ Yes	☐ No
	C.	Acts			☐ Yes	☐ No
	d.	Others,			☐ Yes →	☐ No
		P	Please state:			

	-		National Statistical System are e communication in spite of the mai		
7. 8	Does	the National Statistical Office	e has expert committees from oth	ner entities producing	statistics?
	Pleas	se specify, what kind of com	mittees?:	☐ Yes	☐ No
	a.	Academic committees		☐ Yes	□ No
	b.	Interinstitutional committee	es	☐ Yes	□ No
	C.	Sectorial committees		☐ Yes	□ No
	d.	Others,	_	☐ Yes —	□ No
			Please state:		
_	· —	. — . — . — . —			
		Strengths, weaknesses a	nd improvement actions on co	ordination of the NS	SS
	Whick state b	_	n regard to coordination of the NS	SS of your organization	on? Please
	_	n are the main weaknesses state below.	s with regard to coordination of	the NSS of your org	anization?
	-	ng in mind the compliance of the NSO take to further strer	criteria for the principle of coordinates	ation of the NSS, Wh	nat actions
F	Please	state below the actions and	time frame.		
		Compliance criteria	Improvement actions	Time frame	9
	2.1.	Legislation of the NSS.			

Compliance criteria	Improvement actions	Time frame
2.1. Legislation of the NSS.		
2.2. Methodological guidelines of the NSS.		
2.3. Parameters for participation and responsibility in the NSS.		
2.4. Existence of committees.		

	-	vement actions at national level ericas of ECLAC, in promoting the			
_	you agree with implemen dination of the NSS?	ting good practices in your office	through a pee	er review th	e issue of
			☐ Yes	□ No	
13. ¿Do	you have comments regar	ding the principle of coordination	of the NSS?		
			☐ Yes	□ No	
Р	lease state:				
Curren	t status of the National S	Statistical Office in relation to th cooperation participation.	ne principle of	finternatio	nal and
Internation developme	al cooperation in the exchange of statistical activities a	poperation participation  ange of the experiences and informand common standards are indise efficiency of their production.			
-		onal Statistical Office and the oth al interest which are supported by			oarticipate
	e past three years, which national activities?	of the following topics have involv	ved the Nation	al Statistica	ll Office in
a.	Economics			Yes 🕎	□ No
		Please state:		Yes 7	□ No
b.	Social	Please state		<b>+</b>	

Please state

c. Environmental

☐ Yes →

☐ No

d. I	nstruments for strengthening the NSS		☐ Yes →	☐ No
G		Please state:		
e. (	Others,	Please state:	□ Yes ¬	□ No
		r lease state.		
-	ce criteria 8.2: the National Statistical Officooperation on knowledge-sharing with inte			carry out
	t three years has the office shared and pages in the region?	assed on their exper	ience and expertis	e to other
			Yes	☐ No
	past three years has the office received nation and statistical production?	l knowledge and su	pport on different	issues of
Please	specify, from which agencies:		☐ Yes	☐ No
a.	Andean Community		☐ Yes	□ No
b.	United Nations agencies		☐ Yes	☐ No
C.	ECLAC		☐ Yes	☐ No
d.	EUROSTAT		☐ Yes	☐ No
e.	IDB		☐ Yes	☐ No
f.	World Bank		☐ Yes	☐ No
g.	National Statistical Offices		☐ Yes →	□ No
		Please state:		
h.	Others		☐ Yes	☐ No
		Please state:		
-	ce criteria 8.3: there are activities relent of different knowledge transfer mechanis		al cooperation th	rough the
17. Does to	he National Statistical Office has a unit in ch	narge of international	technical coopera	tion?
		_ 103	L 140	

18. 7	The un	it that promotes international	cooperation activities, has mechan	isms for knowledge tra	nsfer?
	Plea	se specify the mechanisms:		Yes	□ No
	a.	Internships		Yes	☐ No
	b.	Workshops		☐ Yes	☐ No
	C.	Courses		☐ Yes	☐ No
	d.	Study visits		☐ Yes	☐ No
	e.	Conferences		☐ Yes	□ No
	f.	Seminars		☐ Yes	☐ No
	g.	E- learning courses		☐ Yes	□ No
	h.	Others,		☐ Yes ☐	☐ No
			Please state:	<b></b>	
		_	and improvement actions agair onal cooperation and participa		
_		h are the main strengths w zation? Please state below.	vith regard to international coope	eration and participation	on of your
		h are the main weaknesses zation? Please state below.	with regard to international coop	eration and participati	on of your
	Keepin particip		nce criteria for the principle of in the NSO take to further strengthe	-	ition and
F	Please	state below the actions and	d time frame.		
		Compliance criteria	Improvement actions	Time frame	<del>)</del>
		Participation in national activities			
	8.2 coop	Process of international peration.			
		Knowledge transfer hanism.			

22. Please state below the Statistical Conference cooperation and particip	of the Am					
23. ¿Do you agree with impinternational cooperation			in your office	through a	a peer review th	ne issue of
			☐ Ye	es	□ No	
24. ¿Do you have comment	s regarding	the principle of	f international	cooperation	on and participa	ation?
			☐ Ye	es	□ No	
Please state:						
	II. STA	TISTICAL	. PROCES	SS		
Current status of	of the Natio	nal Statistica	l Office in rel	ation to th	ne nrincinle	
ourient status (		f sound meth			ic principie	
Compliance criteria 9.1: Work is done on coordinating implementation of the concepts, classifications and good practice which follow principles and guidelines accepted nationally and internationally for application in all statistical operations.  25. Is there a body/ unit to promote the implementation of methodologies, concepts, classifications and best practices in the statistical process?						
Specify:			□ Ye	es	□ No	
a. External agency	/					
b. Internal unit						
c. Others,				Please sta	te:	
c. Others,  26. For how many of your and international accept	ance?		low recommen	ndations a	and guidelines	of national
26. For how many of your	_	ns, do you fol 75 - 50 %	•			of national
26. For how many of your and international accept	ance?		low recommen	ndations a	and guidelines	of national
26. For how many of your and international accept Concepts	ance? > 75%	75 - 50 %	low recommend 49 - 25%	< 25%	o%	of national

**Compliance criteria 9.2:** the methodology of surveys and the use of administrative registers are evaluated periodically and adjusted when necessary to guarantee high quality of the products.

27.		s the National Statistical Office conduct quality assessments o ys and the use of administrative records?	n methodologies	used in
	Ple	ase specify the type of assessment:	☐ Yes	☐ No
	a.S	elf - assessment through quality reports	☐ Yes	□ No
		elf - assessment through indicators	☐ Yes	□ No
		elf - assessment through process descriptions	☐ Yes	□ No
		elf - assessment through an instruments	☐ Yes	□ No
		•	☐ Yes	□No
	e.S	elf - assessment through evidence		
28.		r what circumstance, do you implement procedures for quality asses in surveys and the use of administrative records?	sment of the met	hodology
	a.	Fieldwork problems	☐ Yes	☐ No
	b.	Data consistency	☐ Yes	□ No
	C.	New information request	☐ Yes	□ No
	d.	Product quality improvement	Yes	□ No
	e.	Expert committee recommendations	Yes	□ No
	f.	Periodically	☐ Yes	□ No
	g.	Others,	□ Yes ¬	□ No
			•	
		Please state:		
	•	nce criteria 9.3: There is detailed concordance between national ar ions prepared by the competent bodies.	d international be	nchmark
29.		nere differences between the reference classifications and the classifice?	sifications implem	ented by
	,		☐ Yes	□ No
	Ple	ase state:	*	
30.	Do yo	u use your own explanatory notes for international classifications?		
30.	_	u use your own explanatory notes for international classifications? ase specify what kind of classifications	☐ Yes	□ No
30.	_	ase specify what kind of classifications	☐ Yes	□ No

C.	Geographical			ΓY	es	☐ No
d.				□ Y	es ¬	☐ No
		ı	Please state:		*	
_	ce criteria 9.4: At the design so the acquisition and processing n.	_				-
	g the statistical design, do the cathrough an analysis of requirem		ocessing instr	uments meet	the user in	nformation
				□ Y	es	□ No
32. For ho	ow many of your statistics, do yo	u make adjus	tment on			
			75 - 50 %		< 25%	0%
	Capture instruments?					
l	Processing tools?					
	s the National Statistical office ial in order to improve the statist					
Plea	ase specify what items are worki	ng:		□ Y	es	□ No
a.	Statistical designs			□ Y		□ No
b.	System design			ΓY	es	☐ No
C.	Operational design			ΠΥ	es	☐ No
d.	Thematic design			☐ Y	es	☐ No
e.	Others,			Y	es Ţ	☐ No
			Please state:			
34. Regul	ar meetings with experts outside	the office, ar	e made to			- ··
a.	Review the information needs of	f users		□ Y		□ No
b.	Review the methodological desi	gn		ΠΥ	es	☐ No
C.	Review the sample design			Г	es	☐ No
ط	Review the guestionnaire design	n		☐ Y	es	☐ No

	e.	Check capture instruments		☐ Yes	☐ No
	f.	Review processing tools		☐ Yes	☐ No
		Review outputs		☐ Yes	☐ No
	g.	·		☐ Yes ¬	□No
	h.	Others,		<b>*</b>	
			Please state:		
35. D	urin	g the last three years, have	your statistical methodologies been sub	ject to external r	eviews?
	Plea	ase specify the areas:		☐ Yes	□ No
	a.			Yes	☐ No
	b.	Thematic		☐ Yes	□ No
	C.			☐ Yes	□No
	d.	Statistical		☐ Yes	□ No
				☐ Yes	□No
	e.	Throughout the process		☐ Yes ☐	□No
	f.	Others,		*	
			Please state:		
_			_		
		Strengths, weaknesses a	and improvement actions on sound r	nethodology.	
_		ch are the main strengths opelow.	with regard to sound methodology of	your organizatio	on? Please
_		ch are the main weaknesse pelow.	s with regard to sound methodology of	your organization	on? Please

38. Keeping in mind the compliance criteria for the principles of sound methodology, what actions would the NSO take to further strengthen the principles?

Compliance criteria	Improvement actions	Time fram	ne
9.1 Coordination and implementation of methodologies, concepts, classifications and good practices.			
9.2 Evaluation of survey methodologies and use of administrative records.			
9.3 Concordance between national and international benchmark classifications.			
9.4. Flexibility in the design stage for the instruments.			
9.5 There are committees to improve and assess the methodology.			
	ericas of ECLAC, in promoting the	principle of sound me	thodology.
40. ¿Do you agree with implementi sound methodology?	ng good practices in your office thr	ough a peer review t	he issue of
		☐ Yes ☐ No	)
41. ¿Do you have comments regard	ing the principle of sound methodol	ogy?	
		Yes No	)
Please state:			

Current status of the National Statistical Office in relation to the principle of appropriate statistical procedures

## **Principle 10. Appropriate Statistical Procedures**

The quality of official statistics should be underpinned by appropriate statistical procedures applied to the

esign, collection, production,	analysis and	dissemination	of information	۱.		
Compliance criteria 10.1: validated before the process				outer appli	cations a	re tested and
42. For how many of your q collection or the inclusio			d computer app	plications; d	do you tes	t the first data
Test applied by the team	> 75%	75 - 50 %	49 - 25%	< 25%	0%	
Pre - test						
Pilot testing						
Compliance criteria 10.2: and validation of the information	•	•		•		
43. For how many of your se	urveys, do yo	u use the com	puter systems	in the proc	ess of	
	> 75%	75 - 50 %	49 - 25%	< 25%	0%	
Collection						
Encoding						
Review and validation						
44. Does the office assess t	he functional	level of compo	uter systems v	vithin the st	atistical p	rocess?
Please specify the per	centage:		☐ Ye	s [	No	
a. > 75%	J					
b. 75 - 50 %						
c. 49 - 25%						
d. < 25%						
e. < 25%			_			

	pliance criteria 10.3: ations and research re		ges in the	statistical pro	cess are revi	ewed or i	updated	d by st	atistical
	Ouring the past three yrocesses?	ears, ho	ow many o	f your surveys	s were review	ed or upo	dated in	the fo	llowing
	>	75%	75 - 50 %	49 - 25%	< 25%	0%			
	Planning								
	Design								
	Implementation $\square$ $\square$ $\square$								
	Analysis								
	Dissemination								
C	Does the National Soverage and misclass se specify the procedu	ification			s in place m		ver cov		under No
	are process		Procedu	Ires			Yes	No	
	Compare the framew target population.	ork with			es regarding t	he			
Analyze the returned questionnaires for duplicates, deaths, field characteristics and changing universe.									
Use specific questions in the questionnaire that contribute to control the correct classification of units.									
	Check the framework (including the units in the universe).								
Update the framework for determining the changes that occur during a given period.									
	Check the consisten	ce on red	count with	other sources					
	Use assessment info	rmation	from other	surveys with	the same fram	nework.			
Check framework maps for ensure a clear and unambiguous guidelines of the geographic areas in the sample design.									
	Others,								
Please state:									
47 E	or how many surveys	does th	e National	Statistical Off	ice conduct th	a followir	na proce	2002	
47.1	of flow flially surveys	, does in	> 75%	75 - 50 %	49 - 25%	< 25%	•		
	Operational superv	vision							
	Technical supervis	ion							
	Re interview routin	е							
	Re interview in the finding inconsisten								

	mpliance criteria 10 regularly reviewed o			_		ed for ed	diting and in	nputation	n and
48.	For how many surve	eys, does the	e National St	atistical Of	fice uses co	mmon s	ystems for		
		> 7	5% 75 - 5	50 % 4	9 - 25%	< 25%	0%		
	The estimation								
	The imputation								
49.	Does the National S	tatistical Off	fice implemer	nt measure	s to avoid o	ver editi	ng?		
	Please specify in v	what percen	tage:		☐ Yes		□ No		
	a. > 75%								
	b. 75 - 50 %								
	c. 49 - 25%								
	d. < 25%								
50.	For how many stat following method an					ational S	Statistical C	office use	e the
		> 75%	75 - 50 %	49 - 25%	< 25%	0%	Yes	No	
	Cold deck								
	Hot deck								
	Other, please state:								
				¿Hav	e you been	assesse	ed on their p	→ performa	nce
51.	Does the National S	tatistical Off	fice documen	nt the imput	ation proce	ss?			
	Please specify in v	what percen	itage:		☐ Yes		□ No		
	a. > 75%	mat por con	nago.						
	b. 75 - 50 %								
	c. 49 - 25%								
	d. < 25%								

e. < 25%

Compliance criteria 10.5: The revisions follow standard processes and consolidate in accordance with the schedule and comments that may be required. The studies and analysis of revisions are made available to specialized users. 52. Does the National Statistical Office provide specific information through committees or meetings to specialized users regarding revisions to the statistical process of official statistics □ No 53. ¿Does the National Statistics Office implement a procedure to document reviews related to official statistics? ☐ Yes Please specify the procedures: ☐ Yes □ No a. Methodologies with changes and register updates □ No ☐ Yes b. Reports of changes and updates to the public □ No Yes c. Others, Please state: Compliance criteria 10.6: the concepts and definitions applied when administrative registers are used for statistical purposes must comply with the parameters required in a quality statistical process. In order to answer de following questions, please consider only the administrative records for statistical purposes 54. Does the National Statistical Office interact with other data producers in the standardization process, definitions, classifications and procedures? ☐ Yes □ No Please specify the procedures: a. Always b. Almost always Rarely d. Never 55. Does the National Statistical Office monitor implementation of standards, concepts, definitions, classifications and procedures for NSS members? ☐ Yes □ No Please specify through what mechanisms: □ No ☐ Yes a. Committees □ No ☐ Yes Working groups □ No ☐ Yes c. Others,

Please state:

O1 11						
Strenaths	Weaknesses	and impro	vement actions	on appropriate	STATISTICAL	nrocedures
Cucinguio,	WCaltificocco	and impro	Vernerit dellerie	on appropriate	otatiotical	procedured

	Which are the main strengths with Please state below.	regard to appropriate statistical p	procedures of your organization?
	Which are the main weaknesse organization? Please state below.	es with regard to appropriate	statistical procedures of your
	Keeping in mind the compliance cactions would the NSO take to furt		riate statistical procedures, what
	Please state below the actions and	I time frame.	
	Compliance criteria	Improvement actions	Time frame
	10.1 Testing of questionnaires, manuals and applications.		
	10.2 Use the computerized systems in all stages of collection.		
	10.3 Reviewed and updates in the statistical process.		
	10.4 Use of the technological resources for estimation and imputation process.		
	10.5 Revisions are made on time and are disseminated.		
	10.6 The concepts and definitions of the administrative records comply with quality parameters.		
,	Please state below the improvem Statistical Conference of the Ar statistical procedures.		•

•	agree with implementing good practices in your office the riate statistical procedures?	rough a	peer reviev	w the issue of
		☐ Yes		No
61. Do you	have comments regarding the principle of appropriate stat	istical pro	ocedures?	
		☐ Yes		No
Pleas	e state:			
(	Current status of the National Statistical Office in relati non – excessive burden on responde		e principle	of
Principle 1	1. Non – Excessive Burden on Respondents			
progressively	I Statistical Office and the other members of the NS reduce the burden of surveys. The reporting burden should not be excessive for the respondents.			-
for obtaini	ce criteria 11.1: the National Statistical Office has coording information from enterprises' accounts (financial information are used to facilitate forward of information.		-	•
-	ce criteria 11.2: the scope and detail of the information peration is limited to what is strictly necessary.	n require	ed from res	spondents for
_	ce criteria 11.3: a constant effort is made to use or develorment on respondents.	elopmen	t sampling	techniques to
62. Which respon	mechanism does the National Statistical Office imple dents?	ment to	reduce th	e burden or
a.	Using administrative records		☐ Yes	☐ No
b.	Avoid duplication of questions in different surveys		☐ Yes	☐ No
C.	Design data collection instruments requesting information That is strictly necessary		☐ Yes	□ No
d.	Developing sampling techniques to reduce the burden		☐ Yes →	□ No
	Please state:			
e.	Others,		□ Yes ¬	□ No
	Please state:			

63. Does informa	the National Statistical Office use systema	tized instruments	to transmit	requested
			☐ Yes	□ No
64. ¿Is the	National Statistical Office required to reduce the	response burden o		
Plea	se specify:		☐ Yes	☐ No
a.	Legislation		☐ Yes	☐ No
b.	Requirements		☐ Yes	☐ No
C.	Internal Policy		Yes	□ No
65. ¿Does	the National Statistical Office measure response	burden?		
Plea	se specify the frequency:	Yes	☐ No	
a.	Always			
b.	Almost always			
C.				
	Never			
avoid dupli	ce criteria 11.4: shared use of data between proceed cating requests and production of information.			in order to
66. Does t	he country has procedures for data sharing amon	ig statistical produc		□ No
Plea	se specify the procedures:		☐ Yes	□ No
a.	Information delivery protocol		☐ Yes	☐ No
b.	Inter – institutional agreements		☐ Yes	☐ No
C.	National information repository		☐ Yes	☐ No
d.	Others,		☐ Yes ¬	□ No
	Plea	se state:		

67. Does t	he National Statistical Office share data with	h other statistical produ	ucers?	
Plea	se specify at what level:		☐ Yes	☐ No
a.	Inter - institutional		☐ Yes	☐ No
b.	Sectoral		☐ Yes	☐ No
C.	Academic		☐ Yes	☐ No
d.	Others,		☐ Yes Ţ	☐ No
Complian	ce criteria 11.5: best estimates and approx	Please state:	d when exact	information is
not availab		all accepted	u when exact	imormation is
68. When	information is not available, are respondent	s allowed making estir	nates or appro	ximations?
Plea	se specify the frequency:	☐ Yes	☐ No	
a.	Always			
b.	Almost always			
C.	Rarely			
d.	Never			
	Strengths, weaknesses and i non – excessive burde	•	on	
	are the main strengths with regard to no zation? Please state below.	on – excessive burde	en on respond	dents of your
	are the main weaknesses with regard to zation? Please state below.	non – excessive burd	en on respond	dents or your

71. Keeping in mind the compliance criteria for the principle non – excessive burden on respondents, what actions would the NSO take to further strengthen the principles?

	Compliance criteria	Improvement actions	Tir	me frame
	11.1 Obtaining information from enterprise s' accounts.			
	11.2 Scope and details of the statistical operations.			
	11.3 Sampling techniques for reduce burden on respondents.			
	11.4 Shared use of data between producers of statistics.			
	11.5 Best estimates and approximations are accepted.			
72.	Please state below the improve Statistical Conference of the Amburden on respondents.	ment actions at national level than nericas of ECLAC, in promoting t		•
73.	Do you agree with implementing non – excessive burden on respo	•	ough a peer i	review the issue of
			☐ Yes	☐ No
74.	Do you have comments regarding	g the principle of non – excessive l	ourden on res	pondents?
			☐ Yes	□ No
	Please state:			

## Current status of the National Statistical Office in relation to the principle of cost - effectiveness

## Principle 12. Cost - effectiveness

Resources should be used effectively	Resources	should	be used	l effectivel
--------------------------------------	-----------	--------	---------	--------------

•	eria 12.1: internal sources of the insti				are deploy	ed to monitor the		
75. Is there a spe	cific entity / unit res	sponsible f	or monitoring t	the resource d	listribution?			
Please specif	·y:			☐ Yes	☐ No			
a. Exter	nal entity							
b. Interr	nal unit / departmer	nt						
c. Other	rs,			Please	state:			
76. For how man resources?	y statistics, does t	he Nationa	al Statistical O	ffice conduct	assessmer	nt on the following		
		> 75%	75 - 50 %	49 - 25%	< 25%	0%		
Human re	sources							
Financial r	resources							
Technolog	gical resources							
Compliance criteria 12.2: proactive efforts are made to improve the statistical potential of administrative records and avoid costly direct surveys.								
	tional Statistical O e use of administra			different mem	bers of the	e NSS in order to		
					Yes	□ No		
Please st	ate mechanisms fo	r providing	advice:					
			•••••		•••••			

Compliance criteria 12.3: National legislation permits members of the NSS to share data on

enterpris confiden	ses, households and governmentality.	ent to reduc	e the costs of	collection w	ithout violatir	ng statistica
78. For 1	the following sources, are there	rules to allo	ow data sharing	among NSS	members?	
a.	Enterprises				Yes	☐ No
b.	Households				☐ Yes	☐ No
C.	Government				☐ Yes	□ No
79. Do t	he NSS members have data sh	naring proce	dures to protec	t the confider	ntiality?	
Ple	ease specify the procedures:				☐ Yes	□ No
i	a. Statistical tables and data of			☐ Yes	☐ No	
1	b. Anonymized microdata files	s for public u	ise		Yes	☐ No
	c. Anonymized microdata files under permission					☐ No
	d. Others,				□ Yes ¬	□ No
-	ance criteria 12.4: informatio				re used to c	optimise the
80. For	how many statistics, does the	National S	tatistical Office		ition and con	nmunicatior
tecn	nologies in the implementation	stage of the	statistical proc	ess?		
		> 75%	75 - 50 %	49 - 25%	< 25%	0%
C	Collection					
C	Capture					
F	Review					
	Database consolidation of collected information.					
V	/alidation and imputation					
C	Others, please state:					

## Strengths, weaknesses and improvement actions on cost–effectiveness.

81.	Which are the main strengths with below.	regard to cost – effectiveness of	your organization? Please state
82.	Which are the main weaknesses state below.	with regard to cost – effectivenes	ss of your organization? Please
83.	Keeping in mind the compliance of the NSO take to further strengthen	·	fectiveness, what actions would
	Please state below the actions and	d time frame.	
	Compliance criteria	Improvement actions	Time frame
	12.1 Control of use the resources.		
	12.2 Improve the statistical potential of administrative records.		
	12.3 Shared date of enterprises, households and government with other members of the NSS.		
	12.4 Information and communication technologies are used to optimise the statistical processes		
84.	Please state below the improvem Statistical Conference of the Amer		•
85.	¿Do you agree with implementing cost – effectiveness?	good practices in your office three	ough a peer review the issue of
		ı	☐ Yes ☐ No

86. ¿Do y	ou have comments regarding the principle of cost – effective	eness?	
		Yes	No
Pleas	e state:		
	III. STATISTICAL OUTPUT		
Curre	ent status of the National Statistical Office in relation to	the principle of rele	evance
Principle 1	3. Relevance		
	I Statistical Offices and the other members of the Nationa formation needs.	l Statistical Systems	should mee
	, interinstitutional workshops, surveys on satisfaction and fe mechanism does the National Statistical Office use to priorit		uction?
88. Which the co	instruments does the National Statistical Office use colleuntry?	ct statistical informa	tion needs o
a.	Thematic tables	☐ Yes	□ No
b.	Institutional plans	☐ Yes	☐ No
C.	Sectoral Plans	☐ Yes	☐ No
d.	National Development Plan	☐ Yes	□ No
e.	National regulation	☐ Yes	☐ No
f.	International requirements	☐ Yes	☐ No
g.	Others,	☐ Yes ¬	☐ No
	Please state:		

	he National Statistical Office signs agreements with other ecal information?	ntities for the pr	roduction of
For		☐ Yes	□ No
a.	Surveys	☐ Yes	□ No
b.	Administrative records	☐ Yes	☐ No
C.	Support for methodological designs	☐ Yes	☐ No
d.	Others,	☐ Yes ¬	☐ No
	Please state:		
	ce criteria 13.2: There are mechanisms to advise, empower an products and priorities as well to regularly consult them on perations.		
90. Does th	ne national statistical office have processes for providing service		
a.	Advisory	☐ Yes	□ No
b.	Training	☐ Yes	□ No
C.	Information about the products and statistical methodologies	☐ Yes	☐ No
	ne national statistical office have a user satisfaction survey to re relation to utility of the statistics?	gularly check the	satisfaction
Pleas	se specify the frequency:	☐ Yes	□ No
a.	Monthly	☐ Yes	☐ No
b.	Each semester	☐ Yes	☐ No
C.	Yearly	☐ Yes	□ No
d.	Others,	☐ Yes —	□ No
	Please state:		

**Compliance criteria 13.3:** The National Statistical Systems, the other members of the NSS and users participate in processes of coordination, analysis and assessment of information requirements and document them via interinstitutional, academics and sectoral committees.

92.		the national statistical office establish academic, int tees to develop the statistical process?	erinstitutional an	d inter-sectoral
	Pleas	se specify in which stage of the process:	☐ Yes	☐ No
	a.	Planning	☐ Yes	☐ No
	b.	Design	☐ Yes	□ No
	C.	Implementation	☐ Yes	□ No
	d.	Analyze	☐ Yes	☐ No
	e.	Dissemination	Yes	□ No
93.		nmittees promote users participation in the process of ide	entifying, analyzing	g and assessing
	monne	alon roquiromono.	☐ Yes	□ No
94.	Does th	ne NSO have processes to review and evaluate the commit	ttees' recommenda	ations?
			☐ Yes	□ No
95.	Have th	ne committees' recommendations been given rise to future	work programs?	
			Yes	□ No
••••				
Co	mpliand	ee criteria 13.4: User satisfaction surveys are conducted pe	eriodically.	
96.	¿Does	the NSO carry out user satisfaction surveys?	□ Vaa	□ Na
	Pleas	se specify the users:	☐ Yes	□ No
	a.	Government users	☐ Yes	☐ No
	b.	Users of the academy and research institutes	☐ Yes	☐ No
	C.	Users of the Web page	☐ Yes	☐ No
	d.	Users of the service centers	☐ Yes	☐ No
	e.	International agencies users	☐ Yes	☐ No

97. L	Does the national statistical office	compile a user directory?	
	Please specify the frequency up	odate:	□ No
	a. Weekly		
	b. Monthly		
	c. Each semester		
	d. Yearly		
	e. Others,	Plea	ase state:
	Strengths, weak	nesses and improvement actio	ns on relevance
98. ¿	,Which are the main strengths wi	th regard of relevance of your org	anization? Please state below.
Γ			
99. V	Which are the main weaknesses	with regard of relevance of your o	rganization? Please state below.
	Keeping in mind the complianc ake to further strengthen the prin	e criteria for the principle relevan ciple?	ce, what actions would the NSO
F	Please state below the actions an	nd time frame.	
	Compliance criteria	Improvement actions	Time frame
	13.1 Supply and demand statistics at national level.		
	13.2 Advise, empower and inform users with regard to statistical products.		
	13.3 Users participation in statistical process stages through committees entities.		
	13.4 Survey satisfaction users.		

Otatiotical Conferences of the 7	Americas of EC	CLAC, in pro	moting the princ	ciple of rele	vance.
102. Do you agree with implemen relevance?	ting good prac	ctices in you	r office through	a peer rev	view the issue of
				☐ Yes	□ No
103. Do you have comments rega	rding the princ	iple of releva	ance?		
				☐ Yes	□ No
Please state:					
Current status of the		istical Offic / and reliab		the princi	ple of
Principle 14. Accuracy and relia	bility				
Principle 14. Accuracy and relia Official statistics should accurately an	_	ray reality			
-	nd reliably port	ediate result		ıl output ar	e assessed and
Official statistics should accurately ar  Compliance criteria 14.1: Source	nd reliably porto data, interme statistical info	ediate result rmation whe	ere necessary	ıl output ar	e assessed and
Official statistics should accurately ar  Compliance criteria 14.1: Source validated, and compared with other	e data, interme statistical info tes, do you ca	ediate result rmation whe	ere necessary	ıl output ar < 25% □	e assessed and
Official statistics should accurately an Compliance criteria 14.1: Source validated, and compared with other 104. For how many of your estimates	e data, intermer statistical info tes, do you ca	ediate result rmation whe lculate accu 75 - 50 %	ere necessary rately?  49 - 25%	< 25%	0% □
Official statistics should accurately an Compliance criteria 14.1: Source validated, and compared with other 104. For how many of your estimates  Estimates  Compliance criteria 14.2: Analyze	e data, intermer statistical info tes, do you ca  > 75%	ediate result rmation whe lculate accu	rately?  49 - 25%  Illing error, and r	< 25% □ non-samplii	0% □
Official statistics should accurately an Compliance criteria 14.1: Source validated, and compared with other 104. For how many of your estimates	e data, intermed statistical info tes, do you ca > 75%	ediate result rmation whe lculate accu 75 - 50 %	rately?  49 - 25%  Illing error, and r	< 25% □ non-samplii	0% □
Official statistics should accurately an Compliance criteria 14.1: Source validated, and compared with other 104. For how many of your estimates  Estimates  Compliance criteria 14.2: Analyze	e data, intermed statistical info tes, do you ca > 75%	ediate result rmation whe lculate accu	rately?  49 - 25%  Ing error, and reprint of the control of the co	< 25%	0% □

	Non – sa	mpling errors	5		
	> 75%	75 - 50 %	49 - 25%	< 25%	0%
Unit response rate					
Item response rate					
Imputation rate					
Over – coverage rates					
Misclassification rates					
Average size of revisions					
Compliance criteria 14.3: Source da to guarantee reliability.	ata are colle	cted in line wit	h the methodo	ology and d	esign published
106. For how many statistics, does implementing stage, in contrast of					rocesses of the
	> 75%	75 - 50 %	49 - 25%	< 25%	0%
Collection					
Review and edit					
Capture					
Validation					
Compliance criteria 14.4: Regula documentation thereof.					
107. Does the National Statistical process?	Office condu	ıct reviews or	the design		
Please specify the stages:				☐ Yes	☐ No
a. Thematic design				☐ Yes	☐ No
b. Statistical design				☐ Yes	□ No
c. Operative design				☐ Yes	□ No
d. System design				☐ Yes	☐ No
e. Others,				☐ Yes -	→ □ No

Please state:

	Does the National Statistical Office na atistical process?	ve mecnar	iisms to contro	i the blas in	tne stage	es of the
I	Please specify in which stage and the m	echanisms:		☐ Yes	8	□ No
	Statistical process stages		Mech	anisms		
a.	Production of sampling frames					
b.	Process of sample design and estimation					
C.	Design collection instruments					
d.	Training					
	liance criteria 14.5: Methodologies are cing official statistics and to bring them u				quality cr	iteria for
109.	In the past three years, have the statistic	s methodol	ogies been up			
I	Please specify in which process and this	percentage	e:	☐ Yes	3	☐ No
	Statistical design	> 75%	75 - 50 %	49 - 25%	< 25%	0%
	Operative design		П			
	Thematic design		П			П
	System design					
	Others, please state:	П	П	П		П
		_	_		_	_
	Strengths, weaknesses and impr	rovement a	actions on ac	curacy and re	eliability	
	Which are the main strengths with regar ate below.	d to accura	cy and reliabil	ity of your org	anization	? Please
	Which are the main weaknesses with ease state below.	regard to a	accuracy and	reliability of y	our orgai	nization?

112. Keeping in mind the compliance criteria for the principle accuracy and reliability, what actions would the NSO take to further strengthen the principle?

	Compliance criteria	Improvement actions	Time frame	
	14.1 Evaluation and validation raw data, results and statistical output.			
	14.2 Analyses of sampling errors and non – sampling errors.			
	14.3 Collection according to the methodology and designs.			
	14.4 Reviews of statistical process.			
5 r [ ] 114.	Please state below the improver statistical Conferences of the Angeliability.  Do you agree with implementing accuracy and reliability?	nericas of ECLAC, in promoting	the principle of accuracy and	b
			☐ Yes ☐ No	
115.	Do you have comments regarding	g the principle of accuracy and re	liability?	
			☐ Yes ☐ No	
	Please state:			

Current status of the National Statistical Office in relation to the principle of timeliness and punctuality

#### Principle 15. Timeliness and punctuality

The National Statistical Office and the other members of NSS should produce and disseminate official statistics in a timely, punctual and transparent manner which treats all users equally.

**Compliance criteria 15.1**: The timeliness of statistics produced should be reflected in the time between your reference period and their availability, considering the framework of time, ensure that information be useful for different users.

116. Does the National Statistical Office have mechanisms to control the timeliness of statistics?

Please specify the mechanisms:				Yes		No		
	Yes	No			Yes	No		
Protocols agreed with users								
Guidelines								
Methodologies								
Time established by the institution according to research								
Others, please state:								
	L				4	<u></u>		
If your answer	is yes	s, pleas	e state	if you doc	umen	t the process		
account as far as possible of the commitments in this area.	<b>Compliance criteria 15.2:</b> The periodicity of dissemination of statistical operations is established takes account as far as possible of the requirements, needs of users, international standards and commitments in this area.							
117. For how many of your statistics,	do you	ı review	/ statis	tical period	dicity i	n the followin	g mechan	isms?
		>	75%	75 - 50 °	%	49 - 25%	< 25%	0%
Standards		I						
Regular consultation with users	S	I						
Regular consultation with the expert committees		ı						
International recommendations	3							
Resources		1						
Others, please state:		ı						

**Compliance criteria 15.3:** a specific date and time is laid down for the release of all statistics. Any changes to the dissemination time schedule are made known in advance with explained and a new release date is set.

118.	Does	the NSO have a release calendar?							
	Pleas	se specify the calendar structure:		□ Y	⁄es	□ No			
	a.	Date and time							
	b.	Date							
	C.	Others,			Plea	ase stat	e:		
119.	Does	the NSO announce in advance calendar a	djustme	nts?					
	Pleas	se specify the frequency:		□ Y	⁄es		□ No		
	a.	Always			,	Announ	ced a n	new release	
	b.	Almost always			(	date o t	-	fication of d	elay:
	C.	Rarely					Yes	s □ No	_
	d.	Others,			Plea	se stat	e:		
publis	hed a	ee criteria 15.4: Any significant error id							
		the National Statistical Office have ed in published statistics?	procedu	ures t	to re	egister i	informa	tion about	errors
	Ple	ase specify the procedures:	☐ Yes		No				
	a.	Estimated data							
	b.	Preliminary data							
	C.	Reviewed data							
	d.	Others,	Ple	ease	state	:			
121.	Do yo	ou have protocols for announcing the data o	correctio	n?	ı	☐ Yes		□ No	

<b>Compliance criteria 15.5:</b> Any su statistical techniques undertake are a				nethodol	ogy, pro	cess,	microdata	or
122. Does the national statistical of results? Mention if you have established						•	lication of f	inal
	Yes	No		Yes	No			
Methodology								
Statistic process								
Microdata								
Statistical Techniques								
	Į.							
Mentic	on if vou	have esta	ablished pro	otocols to	o dissemi	nate u	pdates	
	, , , ,							
Strengths, weakness a	ınd imp	rovemen	t actions o	n timelii	ness and	d pund	ctuality	
123. Which are the main strengths Please state below.	with re	gard to t	meliness a	nd punc	tuality of	f your	organizatio	on?
124 : Which are the main week reco	طنانيد مم	rogard to	timeliness	and no	otuolity o	f	organizatio	22
124. ¿Which are the main weakness Please state below	ses with	regard to	umenness	and pun	ictuality 0	n your	organizatio	יוול ?

125. Keeping in mind the compliance criteria for the principle timeliness and punctuality, what actions would the NSO take to further strengthen the principle?

Compliance criteria	Improvement actions	Time frame
15.1 Timeliness in statistic production.		
15.2 Periodicity in the dissemination of statistics.		
15.3 Release calendar.		
15.4 Revision and publication of identified error.		
15.5 Updates publication in advance.		

pund	Ctuality.					
	o you agree with implementing good pra eliness and punctuality?	actices in y	our office thro	ugh a peer	review the is	ssue of
				Yes	□ No	
128. Do	o you have comments regarding the prin	ciple of rele	evance?			
				Yes	☐ No	
Ple	ease state:					
	Current status of the National Sta Coherence			on to the pr	inciple of	
Official state  Compliant  129. For		e and con  er time and	nparability  I comparable and reconcila	between reg ble over a p	ions and cou	·.
Official sta <b>Complia</b> 129. Fo prac	e 16. Coherence and Comparability atistics should be consistent internally over ance criteria 16.1: statistics are internall or how many statistics, does the Natio ctices?	e and con  er time and	nparability I comparable and reconcila	between reg ble over a p	ions and cou	·.
Official sta <b>Compli</b> a 129. Fo prac	e 16. Coherence and Comparability atistics should be consistent internally over ance criteria 16.1: statistics are internall or how many statistics, does the Natio	e and con er time and ly coherent anal Statisti	nparability I comparable and reconcilated office ap	oetween reg ble over a p ply the follo	ions and cou eriod of time wing standa	ardized
Official sta <b>Compli</b> a 129. Fo prac	e 16. Coherence and Comparability atistics should be consistent internally over ance criteria 16.1: statistics are internall or how many statistics, does the Nation ctices?  Annual results compare with short —	e and converted and statistics of the statistics	I comparable and reconcilated Office ap	petween reg ble over a p ply the follo 49 - 25%	ions and cou eriod of time wing standa < 25%	ardized 0%
Official sta <b>Compli</b> a 129. Fo prac	e 16. Coherence and Comparability atistics should be consistent internally over ance criteria 16.1: statistics are internall or how many statistics, does the Nation ctices?  Annual results compare with short — term results  Provisional results compare with final	er time and ly coherent onal Statisti	I comparable and reconcilated Office ap	petween reg ble over a p ply the follo 49 - 25%	ions and cou eriod of time wing standa < 25%	ardized 0%

Compliance criteria 16.2: statistics produced from administrative registers are consistently when it use
classifications, definitions and concepts required for a quality statistical process.
130. ¿For how many of administrative registers are reviewed and adapted taking account following
standards to be used in generating statistics?

standa	rds, to be used in generating statistics	s?				
		> 75%	75 - 50 %	49 - 25%	< 25%	0%
С	lassifications					
С	oncept					
D	efinitions					
G	ood Practices					
0	thers, please state:					
indicators, increase co	ce criteria 16.3: joint statistical concepts and best practices are propriate and between sense many of statistics, does the Natio	moted and ets of data.	used in all st	atistical opera	ations in o	order to
	•	> 75%	75 - 50 %	49 - 25%	< 25%	0%
Ş	Sampling and census frames					
(	Clasificaciones					
(	Concepts					
[	Definitions					
(	Good Practices					
	Others, please state:					
Compliand	ce criteria 16.4: comparability at nation	onal and int	ernational leve	el of statistics	is promote	ed.
	s the National Statistical Office has nelines or international agreements rel			•	and com	pliance
Pleas	se specify the mechanisms:			☐ Yes	Г	No
a.	Working groups			☐ Yes		No
b.	Inter - institutional, sectoral and acad	demics con	nmittees	☐ Yes		No
C.	Results report			☐ Yes		No
d.	Others,		_	☐ Yes	Ţ [	No
		Plea	ise state:			

# Strengths, weaknesses and improvement actions on the principle of coherence and comparability

	Which are the main strengths well-bease state below.	ith regard to coherence and con	nparability of your	organization?
	Which are the main weaknesses Please state below.	with regard to coherence and cor	mparability of you	r organization?
	Keeping in mind the compliance actions would the NSO take to furt	• • •	erence and comp	parability, what
F	Please state below the actions and		T	
	Compliance criteria	Improvement actions	Time f	rame
	16.1 statistics are internally coherent and reconcilable over a period of time.			
	16.2 Statistics coherence in the use of administrative records.			
	16.3 The use of standards is promoted in all statistics.			
	16.4 The comparability is promoted at national and international level.			
5	Please state below the improved Statistical Conference of the Amecomparability.			
	Do you agree with implementing coherence and comparability?	good practices in your office thr	ough a peer revie	w the issue of
		1	☐ Yes ☐	No
138.	Do you have comments regarding	g the principle of coherence and	comparability?	
	, c			No
	Please state:			